

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Dennis S. DeLorme et al. : Date: March 2, 2006

Group Art Unit: 2171 : IBM Corporation

Examiner: Unassigned : Intellectual Property Law

Serial No.: 10/777,869 : Dept. 917, Bldg. 006-1

Filed: February 12, 2004 : 3605 Highway 52 North

Title: METHOD OF CONVERTING A : Rochester, MN 55901

FILESYSTEM WHILE THE FILESYSTEM REMAINS IN AN

ACTIVE STATE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with

Serial No. 10/777,869

Docket No. ROC920040004US1

37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

To the best knowledge of the undersigned, this Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office action on the merits in the present application. Accordingly no filing fee is required.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

March 2, 2006

(Date of Deposit)

Debra A. Peterson

Respectfully submitted,

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Sheet 1 of 1

Application Number.:

Filing Date:

First Named Inventor:

Art Unit:

Examiner Name: Attorney Docket Number.: 10/777,869

February 12, 2004

Dennis S. DeLorme

2171

Unassigned ROC920040004US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 5.983,240	11-09-1999	Shoroff et al.	·
		US - 2002/0188625 A1	12-12-2002	Jans et al.	
		US -			
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.1	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶
		EP 0 738 980 A2	10-23-1996	Cooper		

r			
I	Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.